



## KNN Documentation

**Module name:** KNN  
**Description:** K-nearest neighbors classification  
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**Summary:** The k-nearest-neighbor algorithm classifies a sample by assigning it the label most frequently represented among the k nearest samples. There are many references for this type of classifier (with several of the early important papers listed below). No explicit model for the probability density of the classes is formed; each point is estimated locally from the surrounding points. Target classes for prediction (classes 0 and 1) can be defined based on a phenotype such as morphological class or treatment outcome.

Within this implementation, the selection of classifier input features (marker genes) is accomplished by computing a signal-to-noise statistic ( $S_x = (\mu_0 - \mu_1)/(\sigma_0 + \sigma_1)$  where  $\mu_0$  is the mean of class 0 and  $\sigma_0$  is the standard deviation of class 0) and using the top N features. The class predictor is uniquely defined by the initial set of samples and marker genes. The k-nearest-neighbor algorithm stores the training instances and uses a distance function to determine which k members of the training set are closest to an unknown test instance. Once the k-nearest training instances have been found, their class assignments are used to predict the class for the test instance by a majority 'vote'.

Our implementation of the k-nearest-neighbor algorithm allows the 'votes' of the k neighbors to be unweighted, weighted by the reciprocal of the rank of the neighbor's distance (e.g., the closest neighbor is given weight 1/1, next closest neighbor is given weight 1/2, etc.), or by the reciprocal of the distance. Either the cosine or euclidean distance measures can be used. The confidence is the proportion of votes for the winning class. The model can be tested on a separately specified test set. Additionally, the model can be saved and used subsequently on additional test sets.

The table below summarizes the different options available and which parameters are required depending on the option selected.

Parameter	Train create a predictive model from a training dataset	Test with saved model run a saved model on a new test dataset	Train/Test create a model on training data and run it on test data
train.filename	Required	No	Required
train.class.filename	Required	No	Required
saved.model.filename	No	Required	No
test.filename	No	Required	Required
class.filename	No	Required	Required
num.features or feature.list.filename	Required	No	Required
weighting.type	No	Required	Required
distance.measure	No	Required	Required
model.file	Required	No	Required
pred.results.file	No	Yes	Yes

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## Parameters

Name	Description
train.filename	training data file name - .gct, .res, .odf type = Dataset ignored if a saved model (saved.model.filename) is used
train.class.filename	class file for training data - .cls ignored if a saved model (saved.model.filename) is used
saved.model.filename	input KNN model file - .odf type = KNN Prediction Model
model.file	name of output KNN model file - .odf type = KNN Prediction Model
test.filename	test data file name - .gct, .res, .odf type = Dataset
class.filename	class file for test data - .cls
num.features	number of signal-to-noise selected features if feature list filename is not specified
feature.list.filename	features to use for prediction
num.neighbors	number of neighbors for KNN
weighting.type	weighting type for neighbors
distance.measure	distance measure to use
pred.results.file	name of prediction results output file – .odf type = Prediction Results

## References:

- Golub T.R., Slonim D.K., et al. "Molecular Classification of Cancer: Class Discovery and Class Prediction by Gene Expression Monitoring," Science, 531-537 (1999).
- Slonim, D.K., Tamayo, P., Mesirov, J.P., Golub, T.R., Lander, E.S. (2000) Class prediction and discovery using gene expression data. In Proceedings of the Fourth Annual International Conference on Computational Molecular Biology (RECOMB) 2000. ACM Press, New York, pp. 263–272.
- Johns, M. V. (1961) An empirical Bayes approach to non-parametric two-way classification. In Solomon, H., editor, Studies in item analysis and prediction. Palo Alto, CA: Stanford University Press.
- Cover, T. M. and Hart, P. E. (1967) Nearest neighbor pattern classification, IEEE Trans. Info. Theory, IT-13, 21-27, January 1967.

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## Return Value:

1. if test data is supplied, a file containing the prediction results
2. if training data is specified, a file containing the saved prediction model

## Platform dependencies:

<b>Task type:</b>	Prediction
<b>CPU type:</b>	any
<b>OS:</b>	any
<b>Java JVM level:</b>	1.4
<b>Language:</b>	Java